

MEMORANDUM

TO: File No. S7-24-15

FROM: John Lee
Senior Counsel, Division of Investment Management

DATE: October 22, 2019

RE: Meeting with Representatives of BlackRock, Inc.

On October 21, 2019, Sarah ten Siethoff (Associate Director, Division of Investment Management (“IM”)), Brian McLaughlin Johnson (Assistant Director, IM), Thoreau A. Bartmann (Senior Special Counsel, IM), Amanda Hollander Wagner (Branch Chief, IM), Joel Cavanaugh (Senior Counsel, IM), John Lee (Senior Counsel, IM), and Alexander Schiller (Economist, Division of Economic and Risk Analysis) met with the following representatives of BlackRock, Inc.:

- Samantha DeZur, Director, Global Public Policy Group; and
- Kristen Walters, Managing Director and Global Chief Operating Officer, Risk & Quantitative Analysis Group.

Among other things, the participants discussed the SEC’s proposal relating to the use of derivatives by registered investment companies and business development companies.