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November 10, 2014

Re: *Treatment of Certain Communications Involving Security-Based Swaps That May Be Purchased Only by Eligible Contract Participants*,
Release No. 33-9643 (Sept. 8, 2014), 79 FR 54224 (Sept. 11, 2014)
(File Number S7-09-14)

Mr. Brent Fields
Secretary
U.S. Securities and Exchange Commission
100 F Street, N.E.
Washington, D.C. 20549-1090

Dear Mr. Fields:

The Securities Industry and Financial Markets Association is in the process of canvassing its members and intends to submit a comment letter to the above file which details current security-based swaps research practices and related matters.

Very truly yours,



Joseph A. Hall

cc: Kyle Brandon
Managing Director, Director of Research
SIFMA