

Level 2

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance):

Project manager has been validated as qualified for this investment

Project manager qualification is under review for this investment

Project manager assigned to investment, but does not meet requirements

Project manager assigned but qualification status review has not yet started

No Project manager has yet been assigned to this investment

18. Is this investment identified as “high risk” on the Q4-FY2007 agency high risk report (per OMB’s ‘high risk’ memo)? YES

19. Is this a financial management system? NO

a. If “yes,” does this investment address a FFMIA compliance area? No

1. If “yes,” which compliance area: N/A

2. If “no” what does it address? Agency Wide Infrastructure - Mixed life-cycle investment

b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. N/A

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100% - enter as decimal, e.g., .25 = 25%)

Hardware .24 Software .11 Services .65 Other 0

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

22. Contact information of individual responsible for privacy related questions:

Name Barbara Stance

Phone Number: 202-551-7209

Title SEC Privacy Assessment Officer

E-mail : StanceB@SEC.Gov

Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes

Short text - 250 characters Medium text - 500 characters Long text - 2500 characters

All dollar amounts must be reported in millions with at least 3 decimals (6 decimals available)

SECHQ1-04-A-0276	T&M	Yes	8/25/2004	8/25/2004	8/24/2009	\$ 1.153	No	Yes	Yes	N/A	No	Yes	Kim Davis	202-551-7311/DavisKH@SEC.gov	3	Yes
SECHQ1-04-A-0319	Firm Fixed Price	Yes	9/21/2004	9/21/2004	9/20/2009	\$ 0.769	No	Yes	Yes	N/A	No	Yes	Kim Davis	202-551-7311/DavisKH@SEC.gov	3	Yes
SECHQ1-04-H-0203	IAA	Yes	9/22/2004	10/1/2003	12/18/2006	\$ 2.615	Yes	Yes	No	N/A	No	Yes	Linda Sudhoff	202-551-7436/SudhoffL@SEC.gov	3	Yes
SECHQ1-04-H-0207	IAA	Yes	9/9/2004	10/1/2003	9/30/2007	\$ 0.480	Yes	Yes	No	N/A	No	Yes	Linda Sudhoff	202-551-7436/SudhoffL@SEC.gov	3	Yes
SECHQ1-04-H-0343	IAA	Yes	9/23/2004	9/1/2004	9/30/2007	\$ 0.900	Yes	Yes	No	N/A	No	Yes	Linda Sudhoff	202-551-7436/SudhoffL@SEC.gov	3	Yes
SECHQ1-05-A-0379	Firm Fixed Price	Yes	8/18/2005	8/18/2005	8/17/2010	\$ 0.195	No	No	Yes	N/A	No	Yes	Joanie Newhart	202-551-7303/NewhartJ@SEC.gov	3	Yes
SECHQ1-06-F-0174	Firm Fixed Price	Yes	4/17/2006	6/1/2006	5/31/2009	\$ 1.492	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-F-0209	Firm Fixed Price	Yes	5/3/2006	8/1/2006	4/29/2008	\$ 0.003	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-F-0226	Firm Fixed Price	Yes	5/23/2006	6/1/2006	5/31/2007	\$ 0.027	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-F-0295	Firm Fixed Price	Yes	7/5/2006	8/1/2006	7/29/2007	\$ 0.025	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-F-0296	Firm Fixed Price	Yes	7/5/2006	8/25/2006	8/24/2007	\$ 0.129	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-F-0303	Firm Fixed Price	Yes	7/17/2006	8/15/2006	8/30/2007	\$ 0.039	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-P-0176	Firm Fixed Price	Yes	5/5/2006	6/1/2006	5/31/2010	\$ 0.227	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-P-0196	Firm Fixed Price	Yes	4/22/2006	4/22/2006	4/21/2009	\$ 0.140	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-P-0225	Firm Fixed Price	Yes	6/21/2006	6/21/2006	7/20/2006	\$ 0.060	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-P-0239	Firm Fixed Price	Yes	5/24/2006	6/1/2006	5/31/2007	\$ 0.054	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-07-C-0057	Firm Fixed Price	Yes	12/1/2006	12/1/2006	11/30/2007	\$0.108	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-07-P-0016	Firm Fixed Price	Yes	11/22/2006	11/22/2006	11/21/2007	\$0.266	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-07-P-0275	Labor Hours	Yes	6/14/2007	6/13/2008	6/13/2012	\$0.17	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-H-00447	Firm Fixed Price, Task Order	Yes	9/15/2007	9/15/2007	3/30/2009	\$2.789	No	Yes	Yes	N/A	No	Yes	Julie Whitmore-Sevin		2	Yes
FY 07 – Planned – Data Center Operations	Firm Fixed Price	No	9/15/2007	9/15/2007	12/7/2007	\$.367.5	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
FY 07 – Planned – Data Networks	Firm Fixed Price	No	9/30/2007	9/30/2007	4/28/2008	\$.100	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes

