

Information Memo

New York Stock Exchange, Inc.
20 Broad Street
New York, NY 10005

Member Firm Regulation



Number 96-11
April 1, 1996

ATTENTION: CHIEF EXECUTIVE OFFICER/MANAGING PARTNER, CHIEF FINANCIAL OFFICER, LEGAL AND COMPLIANCE DEPARTMENTS

TO: ALL MEMBERS AND MEMBER ORGANIZATIONS

SUBJECT: UPDATED LISTING OF THE DEPARTMENT OF TREASURY "SPECIALLY DESIGNATED NATIONALS AND BLOCKED PERSONS"

The Department of the Treasury's Office of Foreign Assets Control ("OFAC") has recently updated its listing of "Specially Designated Nationals and Blocked Persons". OFAC has added 198 names (60 entities, 138 individuals) to the most recent listing that was attached to NYSE Information Memo 95-45, dated October 25, 1995.

Attached is a copy of OFAC's updated listing and an overview of the Executive Order 12978, regarding "the blocking of assets and prohibitions against transactions with Narcotics Traffickers".

The Office of Foreign Assets Control has a "hotline" for compliance inquiries, which members and member organizations should utilize if there are any questions. The hotline number is (202) 622-2490.

Salvatore Pallante
Senior Vice President

Attachments