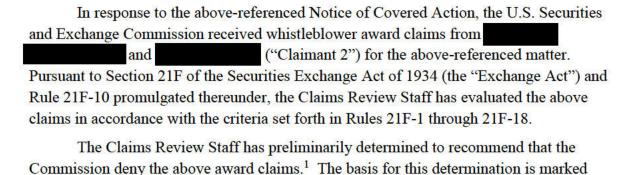
FINAL ORDER-THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION ON SEPTEMBER 23, 2022 AS TO CLAIMANT 2 PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934

Notice of Covered Action:

below as follows:

PRELIMINARY DETERMINATIONS OF THE CLAIMS REVIEW STAFF



Claimants and 2 did not provide information that led to the successful enforcement of the above-referenced Covered Actions within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(3) and 21F-4(c) thereunder because any information provided did not, under Rule 21F-4(c)(1) of the Exchange Act: (1) cause the Commission to (a) commence an examination, open or reopen an investigation, or inquire into different conduct as part of a current Commission examination or investigation, and (b) thereafter bring an action based, in whole or in part, on conduct that was the subject of claimants' information; or (2) significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.²

was investigating and that ultimately formed the charges in the Covered Action. Enforcement staff responsible for the Covered Action did not have any communications with before or during the investigation. While Enforcement staff responsible for the Covered Action did receive information from Claimant 2 and communicated with Claimant 2 during the course of the investigation, Claimant 2 provided no new information that was used in the investigation. Claimant 2's information was already known to Enforcement staff, consisted largely of publicly-available information, or otherwise did not assist Enforcement staff during the investigation or resulting Covered Action.

¹ To the extent Claimants have applied for an award in a related action, because Claimants are not eligible for an award in an SEC Covered Action, they are not eligible for an award in connection with any related action. See 15 U.S.C. § 78u-6(b); Exchange Act Rule 21F-3(b), (b)(1); Rule 21F-4(g) and (f); Rule 21F-11(a); see also Order Determining Whistleblower Award Claim, Release No. 34-86902 (Sept. 9, 2019).

² Enforcement staff opened the investigation based on a news article, and not because of any information provided by Claimants or 2.

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Claimants and 2 did not provide "original information" that led to the successful enforcement of the above-referenced Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(2) and 21F-4(b) thereunder because the information provided by Claimants and 2 was already known to the Commission.³

By: Claims Review Staff

Date: July 25, 2022

³ Much of Claimant and Claimant 2's information was based on publicly-available information, without any further independent examination or analysis. Much of their information also was already known to the Enforcement staff on the Covered Action.