FINAL ORDER - THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION ON AUGUST 6, 2021

PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934

Notice of	f Covered	d Action:	

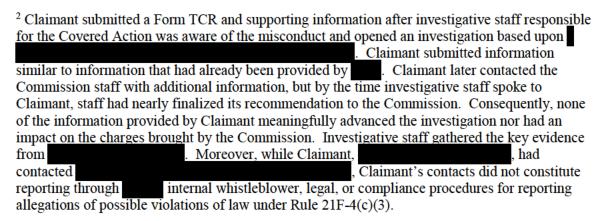
PRELIMINARY DETERMINATION OF THE CLAIMS REVIEW STAFF

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission received a whistleblower award claim from ("Claimant") for the above-referenced matter(s). Pursuant to Section 21F of the Securities Exchange Act of 1934 (the "Exchange Act") and Rule 21F-10 promulgated thereunder, the Claims Review Staff has evaluated the above claim in accordance with the criteria set forth in Rules 21F-1 through 21F-18.

The Claims Review Staff has preliminarily determined to recommend that the Commission deny the above award claim.¹ The basis for this determination is marked below as follows:

Claimant did not provide information that led to the successful enforcement of the above-referenced Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(3) and 21F-4(c) thereunder because any information provided did not, under Rule 21F-4(c)(1) of the Exchange Act: (1) cause the Commission to (a) commence an examination, open or reopen an investigation, or inquire into different conduct as part of a current Commission examination or investigation, and (b) thereafter bring an action based, in whole or in part, on conduct that was the subject of claimant's information; or (2) significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.²

¹ To the extent Claimant has applied for an award in a related action, because Claimant is not eligible for an award in an SEC Covered Action, he/she is not eligible for an award in connection with any related action. *See* 15 U.S.C. § 78u-6(b); Exchange Act Rule 21F-3(b), (b)(1); Rule 21F-4(g) and (f); Rule 21F-11(a); *see also* Order Determining Whistleblower Award Claim, Release No. 34-86902 (Sept. 9, 2019).



FINAL ORDER - THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION ON AUGUST 6, 2021

PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934

Notice of	Covered Action:
(aı vic	Claimant is not a "whistleblower" under Exchange Act Rule 21F-2(a)(1) with spect to the Covered Action. To qualify as a whistleblower, an individual must mong other things) provide information regarding a potential securities law plation to the Commission in the form and manner that is required by Exchange et Rule 21F-9(a), which Claimant did not do.
	Claimant failed to submit the claim for award to the Office of the Whistleblower thin ninety (90) days of the date of the above-referenced Notice for the Covered etion, as required under Rule 21F-10(b) of the Exchange Act.
an rec wa (i) res	Claimant's whistleblower submission(s), upon which Claimant bases the claim r an award, was not made voluntarily as required by Exchange Act Section 21F d Rules 21F-3 and 21F-4(a)(1) because Claimant made the submission(s) after a quest, inquiry, or demand that relates to same subject matter as the submission(s) as directed to Claimant or anyone representing Claimant (such as an attorney) by the Commission, (ii) another regulatory or law enforcement agency or self-gulatory organization (such as FINRA), or (iii) Congress or any other authority of efederal government.
21 be kn fro Ru ev	Claimant did not provide "original information" that led to the successful forcement of the above-referenced Covered Action within the meaning of Section F(b)(1) of the Exchange Act and Rules 21F-3(a)(2) and 21F-4(b) thereunder cause the information was not derived from Claimant's: (1) "independent lowledge," as defined under Rule 21F-4(b)(2), but instead was derived entirely om "publicly available sources;" or (2) "independent analysis," as defined under the 21F-4(b)(3), because the information did not include an examination and aluation of information that "reveals information that is not generally known or ailable to the public."
21 be	Claimant did not provide "original information" that led to the successful forcement of the above-referenced Covered Action within the meaning of Section F(b)(1) of the Exchange Act and Rules 21F-3(a)(2) and 21F-4(b) thereunder cause the information provided by Claimant was already known to the emmission.

FINAL ORDER - THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION ON AUGUST 6, 2021 PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934

Notice	e of Covered Action:			
	enforcement of the ab 21F(b)(1) of the Exch because the informati	ove-referenced Cov nange Act and Rules on was not provided he date of enactmen	l information" that led to the vered Action within the meaning s 21F-3(a)(2) and 21F-4(b) there d to the Commission for the first t of the Dodd-Frank Wall Street	of Section under time
			By: Claims Review	Staff
			Date: June 7, 2021	

 $^{^3}$ See Stryker v. SEC, 780 F.3d 163 (2d Cir. 2015).