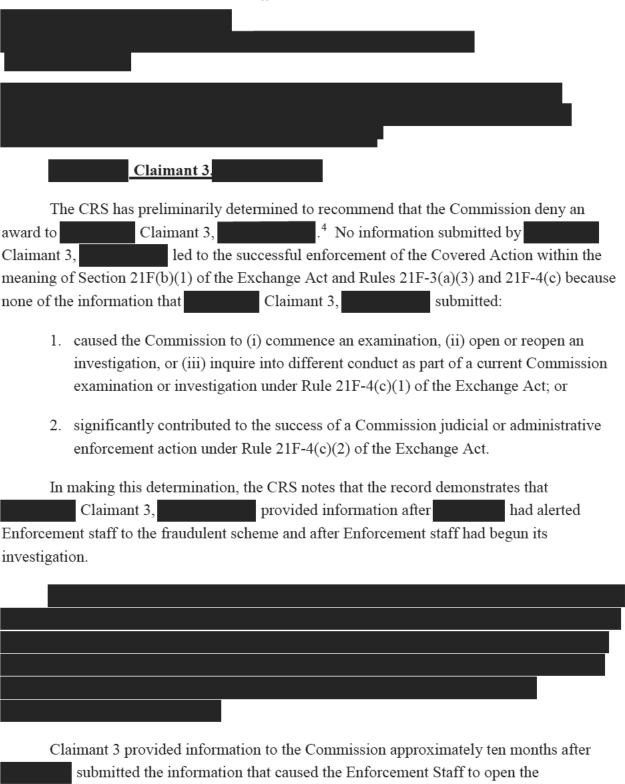
FINAL ORDER - THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER
OF THE COMMISSION ON MAY 18, 2021 AS TO CLAIMANT 3
PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934

PRELIMINARY DETERMINATIONS OF THE CLAIMS REVIEW STAFF In response to the above-referenced Notice of Covered Action, the Securities and Exchange Commission ("Commission") received whistleblower award claims from ("Claimant 3"), and for the above-referenced Commission enforcement action ("Covered Action"). Pursuant to Section 21F of the Securities Exchange Act of 1934 (the "Exchange Act") and Rule 21F-10 promulgated thereunder, the Claims Review Staff ("CRS") has evaluated these claims in accordance with the criteria set forth in Rules 21F-1 through 21F-18. The CRS sets forth its Preliminary Determinations for the award claimants as follows.

FINAL ORDER - THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION ON MAY 18, 2021 AS TO CLAIMANT 3 PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934



investigation. At that time, the Enforcement Staff's investigation was already in an advanced

has applied for an award in a related action, because they are ⁴ To the extent Claimant 3, not eligible for an award in the Covered Action, they are not eligible for an award in connection with any related action. See 15 U.S.C. § 78u-6(b); Exchange Act Rule 21F-3(b), (b)(1); Rule 21F-4(g) and (f); Rule 21F-11(a); see also Order Determining Whistleblower Award Claim, Release No. 34-86902 (Sept. 9, 2019).

FINAL ORDER - THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION ON MAY 18, 2021 AS TO CLAIMANT 3 PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934



stage, and the information provided by Claimant 3 was largely duplicative of information the staff already knew. Claimant 3's information did not significantly contribute to the success of the Covered Action.



By: Claims Review Staff
Date: February 8, 2021