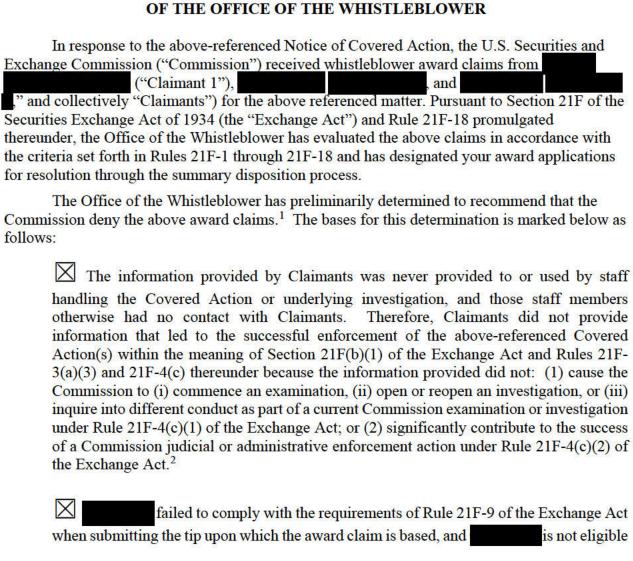
FINAL ORDER-THIS PRELIMINARY SUMMARY DISPOSITION BECAME THE FINAL ORDER OF THE COMMISSION ON OCTOBER 16, 2022 AS TO CLAIMANT 1 PURSUANT TO RULE 21F-18(b)(4) OF THE SECURITIES EXCHANGE ACT OF 1934

Notice	of	Covered	Action:	

PRELIMINARY SUMMARY DISPOSITIONS OF THE OFFICE OF THE WHISTLEBLOWER



¹ To the extent Claimants have applied for an award in a related action, because Claimants are not eligible for an award in an SEC Covered Action, they are not eligible for an award in connection with any related action. See 15 U.S.C. § 78u-6(b); Exchange Act Rule 21F-3(b), (b)(1); Rule 21F-4(g) and (f); Rule 21F-11(a); see also Order Determining Whistleblower Award Claim, Release No. 34-86902 (Sept. 9, 2019).

² Investigative staff responsible for the Covered Action never received or reviewed any information from Claimants or had any communications with Claimants. As such, Claimants did not provide any information that was used in, or otherwise had any impact on, the investigation or resulting Covered Action.

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for a waiver under either Rule 21F-9(e) or the Commission's other waiver authorities.³

failed to specify in the award application the submission pursuant to Rule 21F-9(a) on which the claim for an award is based.

N. Creola Kelly
Chief, Office of the Whistleblower

Dated: September 16, 2022

failed to identify a TCR number on award application and investigative staff was unable to identify a Form TCR submitted by Covered Action.